

# Business Administration

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| Course Number:        | <b>BUAD 350</b>  |
| Course Title:         | <b>CAPITAL MARKETS</b>   |
| Credits:              | 3  |
| Calendar Description: | This course provides the student with a contemporary view of capital markets. Students examine financial institutions and instruments. Among financial intermediaries, the key role of investment banking will be emphasized. Traditional instruments such as equity and debt securities, along with their derivatives and asset securitization will be discussed. Current events will also be discussed (also offered by Distance Education). (3,0,0) |
| Semester and Year:    | <b>FALL 2020</b>   |
| Prerequisite(s):      | BUAD 296 and a minimum 3rd year standing   |
| Corequisite(s):       | No   |
| Prerequisite to:      | BUAD 353 and BUAD 450  |
| Final Exam:           | Yes  |
| Hours per week:       | 3  |

Graduation Requirement:

**Professor**

|                       |                           |      |                         |
|-----------------------|---------------------------|------|-------------------------|
|                       |                           |      |                         |
| <i>Course Captain</i> | 250-762-5445<br>ext. 4564 | C111 | nedwards@okanagan.bc.ca |

**Evaluation Procedure**

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|--|------|
| StockTrak Investment Practice and Competition              | 20%  |
| Participation and contribution including in-class practice | 10%  |
| Mid-term Exam  | 30%  |
| Final Exam   | 40%  |
| Total  | 100% |

**Notes**

Students must earn half of all available exam marks to achieve a passing grade in the course. There will be no make-up or supplementary exams. Class attendance is required and active class participation/contribution are expected.

**StockTrak Investment Practice and Competition**

1. Each student will need to register an individual account at:

<https://www.stocktrak.com:443/members/registerstudent?className=BUAD350-FALL2020>

**Required Texts/Resources**

Investment Analysis and Portfolio Management, Reilly, Brown, Leeds, 11th Edition, Nelson Education, 2019, ISBN: 9781305262997

**Recommended:**

Behavioural Corporate Finance, Shefrin, Second Edition, ISBN 9781259277207

Other required readings will be posted on Moodle

Lecture slides will be posted on Moodle

Course Schedule

|                  |       |   |          |
|------------------|-------|---|----------|
|                  |       |   |          |
| 2020<br>Week of: |       | Wednesday, September 9 - First Day of Classes<br>Monday, October 12 – Statutory Holiday<br>Wednesday, November 11 – Statutory Holiday<br>Monday, December 7 – Last Day of Regular Classes |          |
| Sep              | 7-11  | Introduction<br>Review of risk and return<br>Asset allocation and security selection  | Ch. 1-2  |
|                  | 14-18 | Review of asset classes and capital market participants<br>Trading process and transactions<br>Market Indices and Exchange Traded Funds (ETFs)  | Ch. 3, 4 |



